



DEPARTMENT OF COMMERCE & INSURANCE

P.O. Box 690, Jefferson City, Mo. 65102-0690

IN RE: KATHARINE WEXLER
40 IRON HORSE TRAIL
LADERA RANCH, CA 92694

TRACKING ID 377920

VOLUNTARY FORFEITURE AGREEMENT

It is hereby agreed by Katharine Wexler and the Division of Consumer Affairs (“Division”) of the Department of Commerce and Insurance, as follows:

WHEREAS, Chlora Lindley-Myers, is the duly appointed Director of the Department of Commerce and Insurance, State of Missouri (hereinafter, “Director” of the “Department”), whose duties, pursuant to Chapters 374 and 375, RSMo, include the supervision and regulation of the business of insurance;

WHEREAS, the Division is charged with investigating producers and companies engaged in the business of insurance pursuant to Sections 374.085 and 374.190, RSMo and is authorized by the Director to recommend enforcement action under the laws relating to insurance;

WHEREAS, Katharine Wexler (“Wexler”) has a nonresident surplus lines insurance license with the Department, pursuant to Chapter 384, RSMo;

WHEREAS, the Division has received information concerning Wexler’s failure to timely file her 2020 Appendix 3 Report, which is a violation of Section 384.057.1, RSMo, and subjects Wexler to enforcement action by the Director;

WHEREAS, Wexler has been informed of her right to counsel and of her right to contest any attempt by the Department to discipline her surplus lines producer license, and states that she understands her rights to contest any such actions;

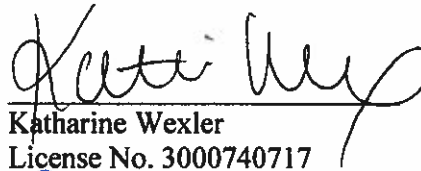
AND WHEREAS, Wexler acknowledges and admits for purposes of this Agreement and for purposes of any future action by the Director or the Division based on an additional violation of the insurance laws or regulations by Wexler, in which action the Director or the Division alleges that the violation described herein forms part of a course of conduct, a business practice, or other such series of similar violations, or that future violations by Wexler are committed knowingly, intentionally or in conscious disregard of the law, that she failed to timely file her 2020 Appendix 3 Report, and that such conduct violated Section 384.057.1, RSMo;

NOW, THEREFORE, in lieu of any recommendation or initiation by the Division of any action based on the violation cited in this Agreement, and after being afforded the opportunity to consult legal counsel, Wexler does hereby voluntarily and knowingly surrender and forfeit the sum of three hundred dollars (\$300.00), such sum to be paid into the State School Moneys Fund pursuant to Sections 374.046 and 374.280, RSMo.


Wexler shall submit this sum to the Department by cashier’s check or money order made payable to the State School Moneys Fund no later than May 18, 2021.

The parties agree that, should the Director or the Division in the future allege a different violation of the insurance laws or regulations by Wexler, nothing in this Agreement shall preclude the Director or the Division from introducing Wexler's admissions contained in this Agreement as evidence that the acts described herein form part of a course of conduct, a business practice, or other such series of similar violations, or for purposes of showing that such later alleged acts are committed knowingly, intentionally or in conscious disregard of the law.

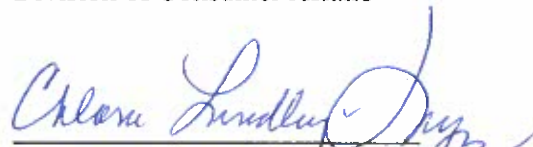
DATED: 06/01/21


Katharine Wexler
License No. 3000740717

DATED: 6/23/21


Carrie Couch, Director
Division of Consumer Affairs

DATED: 06/24/2021


Chlora Lindley-Myers, Director
Department of Commerce and Insurance

Return original to:
Karen Crutchfield
Missouri Department of Commerce and Insurance
PO Box 4001
Jefferson City, MO 65102